

## AMENDMENT TRANSMITTAL LETTER

Docket No. SEDERM 3.3-009

Application No.	Filing Date	Examiner	Art Unit 1619	
10/519,118-Conf. #9458	September 29, 2005	B. M. Gulledge		

Applicant(s): Karl Lintner

Invention: Co

COSMETIC OR DERMOPHARMACEUTICAL COMPOSITIONS COMPRISING TYRAMINE

DERIVATIVES, METHOD FOR PREPARING SAME, AND USE THEREOF

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate		
Total Claims	25	- 27 =		X		
Independent Claims	2	- 3 =		х		
Multiple Depend	dent Claims (che	eck if applicabl	e)			
Other fee (pleas	se specify):					
TOTAL ADDIT	IONAL FEE FO	OR THIS AME	NDMENT:			
x Large Entity				Small Enti	ity	
No additiona	al fee is require	d for this amer	ndment.			
Please char	ge Deposit Acc	ount No	ir	the amount of \$		
A check in the	ne amount of \$		to cover	the filing fee is e	nclosed.	
Payment by	credit card. Fo	orm PTO-2038	is attached.			
	r is hereby auth d below. A dup				No. 12-1095	
x Credit a	ny overpaymer	t.				
x Charge a	any additional fili	ng or applicatio	n processing t	ees required unde	er 37 CFR 1.16 and 1.17.	
2				Dated:	February 10, 2009	
Michael H. Tes Attorney/Agent		362				
LERNER, DAV 600 South Aver Westfield, New (908) 518-6313	nue West Jersey 07090	RG, KRUMHOI	LZ & MENTL	K, LLP		

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: February 10, 2009

Signature.

(Michael H. Teschner)

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Dated: February 10, 2009 Signature:

(Michael H. Teschner)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Karl Lintner

Application No.: 10/519,118 Group Art Unit: 1619

Filed: September 29, 2005 Examiner: B. M. Gulledge

For: COSMETIC OR DERMOPHARMACEUTICAL

COMPOSITIONS COMPRISING TYRAMINE

DERIVATIVES, METHOD FOR

PREPARING SAME, AND USE THEREOF

## AMENDMENT IN RESPONSE TO RESTRICTION REQUIREMENT AND ELECTION OF SPECIES

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Madam:

This communication is in response to the Office Action mailed December 11, 2008, setting forth a Restriction Requirement and a Requirement for Election of Species in the above-identified application. Claims 18-43 remain pending in the application. Claims 18, 30, 33, 36, and 37 are amended to delete "sulfonyl." In addition, claims 33 and 37 are amended to delete "sulfonic." Claim 38 is amended to delete the compound of formula III. Claim 23 has been canceled. No new matter has been added by way of this amendment. Accordingly, entry of the present amendment is respectfully requested.

In the Office Action, the Examiner required restriction to one of the following inventions pursuant to 35 U.S.C. §§ 121 and 372:

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Group I, claims 18-29 and 38-41, drawn to a dermopharmaceutical formulation.

Group II, claims 30-37, drawn to a method of making a dermopharmaceutical formulation.

Group III, claims 42-43, drawing to the use of a dermopharmaceutical formulation.

In addition, if the Applicant elected Group I or Group II, the Examiner required that Applicant elect a particular species of the compound of formula I to which the claims shall be restricted if no generic claim is finally held to be allowable.

In response, Applicant asserts that in view of the current amendment this restriction for lack of unity is improper in accordance with the decision in <u>Caterpillar Tractor Co. v. Commissioner of Patents and Trademarks</u>, 231 U.S.P.Q. 590 (E.D. Va. 1986). Indeed,

"when the Office considers international applications as an International Searching Authority, as an International Preliminary Examining Authority, and during the national stage as a Designated or Elected Office under 35 U.S.C. 371, PCT Rule 13.1 and 13.2 will be followed when considering unity of invention of claims of different categories without regard to the practice in national applications filed under 35 U.S.C. 111".

Here, it is Applicant's position that there is unity of invention and that the restriction and the election are improper. Pursuant to 37 C.F.R. § 1.475, a national stage application containing claims to different categories of invention will be considered to have unity of invention if the claims are drawn to a product (i.e., the invention of Group I),

a process specially adapted for the manufacture of said product (i.e., Group II), and a use of said product (i.e., Group III). Moreover, claim 18 recites a composition which is a common technical feature linking each of the pending claims 19-22 and 24-43 with claim 18. Furthermore, this common technical feature is neither anticipated nor rendered obvious by Witte et al., 4,258,058), which does not disclose (U.S. Patent the dermopharmaceutical formulations, methods of making, or methods of using the claimed formulations.

In the alternative, however, Applicants would elect the invention of Group I. Of the species identified by the Examiner, Applicant would elect octopamine, wherein X is -NR3R4;  $R^1$  is an alcohol; and  $R^2$ ,  $R^3$  and  $R^4$  are hydrogen atoms. Claims 18-21, 25-29, 38-39, and 41 read on the elected species. However, this election is made with traverse, as the respective searches appear to be substantially coextensive for each of Groups I-III.

Moreover, in addition to unity of invention and a feature, notwithstanding the common technical different classifications of the claims of Groups I-III, the "inventions" in these groups are related. Therefore, it is respectfully submitted that a search for one "invention" will necessarily involve a search for the other as well. In accordance with the policies outlined in the Manual of Patent Examining Procedure, where possible, a search of all "inventions" should be made together. It is respectfully submitted that doing so in this instance will not be unduly burdensome. Reconsideration of the requirement and examination of all the claims are therefore respectfully requested and considered to be appropriate in this If, however, for any reason the Examiner does not believe that such action can be taken at this time, the Examiner is invited to telephone the undersigned at 908-654-5000 so as to Application No.: 10/519,118 Docket No.: SEDERM 3.3-009

overcome any additional objections.

In the event the request to examine all the claims together is not granted, Applicants reserve the right to file a divisional application corresponding to the non-elected claims.